PTO/SB/21 (09-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10/687,207 **Application Number** October 16, 2003 Filing Date Kenneth W. Johnson First Named Inventor Art Unit 3764 Tam N. Nguyen **Examiner Name** Attorney Docket Number 409297

		<u>+</u>				
	ENCLOSURES (check all that apply)					
Fee Transmittal Form	☐ Drawing(s)	After Allowance Communication to TC				
Fee Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Petition to Convert to a Provisional Application		Proprietary Information			
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Add	ress	Status Letter			
Extension of Time Request	Terminal Disclaimer		Other Enclosure(s) (please identify below):			
Express Abandonment Request	Request for Refund CD, Number of CD(s)	Transmittal				
Information Disclosure Statement	☐ Landscape Table on CD	Certificate of Mailing Return Post Card				
Certified Copy of Priority Document(s)	Remarks					
Reply to Missing Parts/ Incomplete Application						
Reply to Missing Parts under 37 CFR1.52 or 1.53						
SIGI	NATURE OF APPLICANT, ATTOR	RNEY, OF	RAGENT			
Firm	LATHROP & GAGE LC	,				
Signature	/n on/	^				
Printed Name	Jerome R. Smith, Jr.					
Date	August 22, 2006 Reg. No. 35,684					
	CERTIFICATE OF MAILING 3	7 CED 1	10			

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Post Office to Addressee (Label No. EV413244753US) in an envelope addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature	Welissa Smooth			
Typed or printed name	Melissa Smith	Date	August 22, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUG 2 2 2006

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL for FY 2006

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180.00

Complete If Known				
Application Number	10/687,207			
Filing Date	October 16, 2003			
First Named Inventor	Kenneth W. Johnson			
Examiner Name	Tam N. Nguyen	· · · ·		
Art Unit	3764			
Attorney Docket No.	409297			

METHOD OF PAYMEN	T (check al	that apply)					
☐ Check ☐ Credit Car	rd Mon	ey Order 🔲 Nor	e 🔲 Other (please identify) :			
Deposit Account Dep	osit Account	Number: 12-0600		Deposit Account	Name: LATH	IROP & GAGE L	.c
	For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)						
☐ Charge fee	e(s) indicated	d below		Charge f	ee(s) indicate	d below, except	for the filing fee
		fee(s) or underpayr	nents of fee(s)	Credit an	ny overpayme	nts	
Under 37 WARNING: Information on th	CFR 1.16 ar is form may b	nd 1.17 pecome public. Credi		_			dit card
information and authorization	on PTO-203	8.					
FEE CALCULATION							
1. BASIC FILING, SEA	RCH, AND FILING F		FEES SEARCH	EEE8	EYAMINI	ATION FEES	
		Small Entity	SEARCH	Small Entity		Small Entity	
Application Type	Fee (\$)	Fee(\$)	Fee(\$)	Fee(\$)	Fee(\$)	Fee(\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	·
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FE	ES						Small Entity
Fee Description						<u>Fee (\$)</u>	Fee (\$)
Each claim over 20 (inc						50	25
Each independent clain Multiple dependent clai		luding Reissues)				200 360	100 180
Total Claims	Extra C	laims Fee(\$	i) Fee	Paid (\$)			Dependent Claims
-20 or HP:		x	_ =			Fee (\$)	Fee Paid (\$)
HP = highest number of	total claims pa	id for, if greater than 2	20.				
Indep. Claims	Extra C	laims Fee(\$	<u> Fee</u>	Paid (\$)			
3 or HP=		х	_ = _				
HP = highest number of	independent c	laims paid for, if great	er than 3.				
3. APPLICATION SIZE							
If the specification and d	rawings exc	eed 100 sheets of p)), the application s	aper (excluding	g electronically fil	led sequence (or computer	50
sheets or fraction	thereof. Se	e 35 U.S.C. 41(a)(1	(G) and 37 C	FR 1.16(s).	ian chility) for	cacii additional	. 50
Total Sheets	Extra Sh			itional 50 or fra	ction there	of Fee (\$)	Fee Paid (\$)
100	=	/ 50 =	(round up	to a whole numb	ber) x		=
4. OTHER FEE(S)							Fees Paid (\$)
		130 fee (no small e					100.00
Other (e.g., late t	filing surcha	rge): Late Filing of	IDS				180.00

SUBMITTED BY				
Signature	Mhl	Registration No. (Attorney/Agent) 35,684	Telephone	(816) 460-5306
Name (Print/Type)	Jerome R. Smith, Jr.		Date	August 22, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ERTIFICATE OF EXPRESS MAIL P	Matter No.		
Inventor(s): Kenne	eth W. Johnson		409297
Serial No.	Filing Date	Examiner	Group Art Unit
10/687,207	October 16, 2003	Tam N. Nguyen	3764

Invention Rotary Rehabilitation Apparatus And Method

I hereby certify that the following: Transmittal Form (1 page); Amendment (9 pages); Information Disclosure Statement Transmittal (2 pages); Information Disclosure Statement By Applicant Form 1449 (2 pages); Fee Transmittal For FY 2006 (1 page in duplicate); Copy of 4 references; authorization to charge \$180 for the late filing of information disclosure statement; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and return post card are being mailed in an envelope addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 22nd day of August, 2006.

Melissa Smith
Name of Depositor
/
Melissa Smooth Signature of Depositor
Signature of Depositor
EV413244753US
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